

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of:</p> <p>Desnoyers et al.</p> <p>Serial No.: 10/036,214</p> <p>Filed: December 26, 2001</p> <p>For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: Not yet assigned</p> <p>Examiner: Not yet assigned</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, ATTN: Licensing and Review, Washington, D.C. 20231 on</p> <p><u>October 3, 2002</u></p> <p><u>Teri Lee</u></p> <p>Teri Lee</p>
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

RESPONSE TO REQUIREMENT FOR STATEMENT UNDER § 152 OF THE ATOMIC ENERGY ACT

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT 15 2002
LICENSING & REVIEW

Sir:

This is in response to the Requirement for Statement under § 152 of the Atomic Energy Act (Paper No. 11) mailed herein on September 6, 2002. In response, Applicants submit herewith a copy of the fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 which was filed on October 1, 2002 in U.S. Application Serial No. 09/931,836 (of which the above captioned patent application is a continuation). Applicants note that the herewith submitted property rights statement is applicable to not only parent U.S. Application Serial No. 09/931,836, but to all continuations of that parent patent application as well, including the present U.S. Application Serial No. 10/036,214 (see Appendix A of the herewith submitted property rights statement). Applicants, therefore, submit that the requirements for replying to Paper No. 11 have herein been satisfied and respectfully request that this

Serial No.: 10/036,214

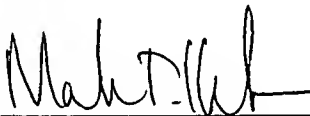
Filed: December 26, 2001

application be forwarded to the appropriate art unit for substantive examination.

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By: 

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 1646
Desnoyers et al.	Examiner: Not yet assigned
Serial No.: 09/931,836	
Filed: August 16, 2001	
For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	

**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -
INVENTORS EMPLOYED BY AN ORGANIZATION**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT 15 2002
LICENSING & REVIEW

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 09/931,836 filed in the United States of America on August 16, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature: _____

Date: 09/04/2002

Full name of second joint inventor

Dan L. Eaton

45 Inverness Drive, San Rafael, CA 94901

Citizenship: US

Inventor's signature: _____

Date: _____

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Luc Desnoyers

2050 Stockton Street, San Francisco, CA 94133

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of second joint inventor

Dan L. Eaton

45 Inverness Drive, San Rafael, CA 94901

Citizenship: US

Inventor's signature: 

Date: 9-5-02

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: Sept. 4, 2002

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: Paul J. Godowski

Date: 9/06/02

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature:  _____

Date: 9/14/02

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: Sept 06/02

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Colin K. Watanabe

128 Corliss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: Sep 4 2002

Full name of eighth joint inventor

Colin K. Watanabe

128 Cortiss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of third joint inventor

Audrey Goddard

110 Congo Street, San Francisco, CA 94131

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of fourth joint inventor

Paul J. Godowski

25 Orange Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of fifth joint inventor

Austin L. Gurney

1 Debbie Lane, Belmont, CA 94002

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of sixth joint inventor

James Pan

14 Tewsley Place, Zitobicoke, ONT M9P 1N7

Citizenship: CA

Inventor's signature: _____

Date: _____

Full name of seventh joint inventor

Timothy A. Stewart

465 Douglass Street, San Francisco, CA 94114

Citizenship: US

Inventor's signature: _____

Date: _____

Full name of eighth joint inventor

Colin K. Watanabe

128 Cortiss Drive, Moraga, CA 94556

Citizenship: US

Inventor's signature: Colin K. Watanabe

Date: 9/5/2002

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of ninth joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: William I. Wood

Date: 8/16/01

Full name of tenth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature: _____

Date: _____

Serial No.: 09/931,836

Filed: August 16, 2001

Full name of ninth joint inventor

William I. Wood

35 Southdown Court, Hillsborough, CA 94010

Citizenship: US

Inventor's signature: _____


Date: _____

Full name of tenth joint inventor

Zemin Zhang

876 Taurus Drive, Foster City, CA 94404

Citizenship: US

Inventor's signature:  _____

Date: 9/12/02

Application No.	Application Date	File No
10/035977	26Dec2001	P3030R1C10
10/036214	26Dec2001	P3030R1C11
10/035719	26Dec2001	P3030R1C2
10/036160	26Dec2001	P3030R1C3
10/035855	26Dec2001	P3030R1C4
10/036342	26Dec2001	P3030R1C5
10/036063	26Dec2001	P3030R1C6
10/035958	26Dec2001	P3030R1C7
10/036041	26Dec2001	P3030R1C8
10/036150	26Dec2001	P3030R1C9